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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/805,946	03/22/2004	Ying Zhu	2003P04385 US01	1050
7590 02/20/2008 Siemens Corporation Intellectual Property Department 170 Wood Avenue South Iselin, NJ 08830			EXAMINER WANG, CLAIRE X	
			ART UNIT 2624	PAPER NUMBER
			MAIL DATE 02/20/2008	DELIVERY MODE PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	Application No.	Applicant(s)	
	10/805,946	ZHU ET AL.	
	Examiner	Art Unit	
	Claire Wang	2624	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 06 December 2007.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-94 and 105 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-94, 105 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Response to Amendment***

1. Applicants' response to the last Office Action, filed on December 6<sup>th</sup>, 2007 has been entered and made of record.
2. Applicant's amendment has necessitated new grounds of rejection. Thus, new grounds of rejection are presented in this Office Action.

### ***Response to Arguments***

3. Applicant's arguments with respect to claims 1, 87, 18-19 have been considered but are moot in view of the new ground(s) of rejection.
4. Applicant's arguments filed December 6<sup>th</sup>, 2007 have been fully considered but they are not persuasive.
  - a. In response to applicant's remark that VM does not teach "the component classifiers include classifiers for detecting components at multiple scales". It is noted that VM teaches classification methods are based on matching of the observed size of the mobile object with previously gathered information of typical sizes for object of all classes (page 459, left-hand column, lines 26-29). Thus, the matching of different sizes with for the object identified in the video shows that there are different scales used to identify the object.

b. In response to applicant's remark that "one or more classifiers include overlapping component classifiers". It is noted that VM teaches a box is formed around the object of interest by first gathering the structure of the object being classified then the corresponding shape is matched with the 2D mask to yield a scaled information. From the 3D points information such as size, position and speed maybe extracted (Page 462 left-hand column lines 3-8). The overlapping component may be the different information extracted from the 3D information, since all information is based on a single object.

c. In response to applicant's remark that "the accumulated confidence scores is inferred from confidence scores across multiple frames using a recursive filter". It is noted that VM teaches the algorithm used to determine the next trajectory adjusts itself whenever new information is received, thus making it repeat and re-occur (page 459, left-hand column lines 49-50; page 459, right-hand column lines 1-2).

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 1-29, 33-35, 37-42, 45, 47, 49-51, 53, 55, 58-80, 84-85, 87-92, and 105 are rejected under 35 U.S.C. 103(a) as being unpatentable over VM in view of Laumeyer et al. (US 2007/0154067 hereinafter "Laumeyer").

As to claim 1, VM teaches method for detecting one or more objects belonging to the same object class comprising the steps of: a). receiving a video sequence from a video camera (each camera is attached to a processing unit using a video capture card that hosts a set of image video object kernel; page 457, left-hand column, lines 25-28) comprised of a plurality of image frames (all video streams consists of frames); b) applying one or more classifiers to detect components of objects in an image frame in the video sequence (each scene may have one of a number of classifications; page 459, left-hand column, lines 11-14), wherein the component classifiers include classifiers for detecting components at multiple scales (classification methods are based on matching of the observed size of the mobile object with previously gathered information of typical sizes for object of all classes; page 459, left-hand column, lines 26-29); c) computing a confidence score based in part on the response from the one or more component detectors (each classification has a confidence factor between 0 and

1, 1 being maximum confidence and 0 means no confidence; page 459, left-hand column, lines 15-17); d) repeating steps b) and c) to detect components of objects belonging to the same object class in additional images frames in the video sequence (page 459, left-hand column, lines 8-28); and e). accumulating confidence scores from the component detectors to determine if an object is detected (page 459, left-hand column, lines 8-37). However, VM does not teach and wherein said method is adapted for use with a moving video camera.

Laumeyer teaches a method for identifying objects depicted in a video stream (Title) wherein the cameras collecting the video data is mounted on a vehicle (Fig. 3B). Thus Laumeyer's vehicle mounted cameras reads on the claimed moving video camera. Therefore, it would have been obvious for one ordinarily skilled in the art at the time the invention was made to combine VM's traffic monitoring method with Laumeyer's vehicle cameras in order to allow individual vehicles to monitor traffic outside their vehicles.

As to claim 2, VM teaches wherein if accumulated confidence scores indicate high confidence of a presence of an object, the method further comprising the step of: identifying the detected components to be an object of a particular type (page 459, left-hand column lines 22-25).

As to claim 3, VM teaches wherein the object class is a vehicle (page 459, left-hand column lines 12-14).

As to claim 4, VM teaches if an object is detected, outputting a detection signal and object position (page 459, left-hand column lines 43-58).

As to claim 5, VM teaches testing geometry constraints on a spatial arrangement of detected components in an image; and applying whole-appearance classifiers an image patch that contains the detected components and which is aligned according to the position of the detected components (page 459, left-hand column lines 26-29).

As to claim 6, VM teaches wherein the geometry constraints are derived from camera parameters (page 459, left-hand column lines 20-21).

As to claim 7, VM teaches wherein the geometry constraints are derived from object size (page 459, left-hand column line 27).

As to claim 8, VM teaches wherein the geometry constraints are derived from a location of an object appearance in the image (page 459, left-hand column line 46).

As to claim 9, VM teaches wherein the whole appearance classifiers detect entire or partial object appearance, the entire or partial object appearance being aligned according to positioning of at least two components (page 459, left-hand column lines 26-29).

As to claim 10, VM teaches wherein the component classifiers include classifiers for detecting components at multiple scales (page 459, left-hand column lines 30-36).

As to claim 11, VM teaches wherein component classifiers are defined by discriminant features and decision rules which are learned through boosted training (page 459, left-hand column lines 37-38).

As to claim 12, VM teaches wherein the discriminant features include corners (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object, the box has corners).

As to claim 13, VM teaches wherein the discriminant features include horizontal edges (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object, the box has horizontal edges).

As to claim 14, VM teaches wherein the discriminant features include vertical edges (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object, the box has vertical edges).

As to claim 15, VM teaches wherein the discriminant features include horizontal stripes (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object, the box may contain horizontal stripes).



As to claim 16, VM teaches wherein the discriminant features include vertical stripes (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object, the box may contain vertical stripes).

As to claim 17, VM teaches wherein the discriminant features include diagonal stripes (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object, the box may contain diagonal stripes).

As to claim 18, Laumeyer teaches performing an online adaptation to adapt a classifier structure to an online pattern (the method of rapidly identifying, locating, and storing images of objects from the frames maybe implemented using the internet; [0012], lines 1-6, 9-10).

As to claim 19, Laumeyer teaches wherein the step of performing an online adaptation further comprises the step of: applying a dynamic switching strategy to direct the detector to take appropriate weak classifiers as discriminants according to auxiliary information about the online pattern (selected portions of individual frames are used to confirm that a set of several images in fact represents a single object of a class of objects; [0012], lines 11-24).

As to claim 20, VM teaches wherein the one or more classifiers include overlapping component classifiers (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object; this box is formed by first gathering the structure of the object being classified then the corresponding shape is matched with the 2D mask to yield a scaled information. From the 3D points information such as size, position and speed maybe extracted (Page 462 left-hand column lines 3-8). The overlapping component may be the different information extracted from the 3D information, since all information is based on a single object).

As to claim 21, VM teaches wherein the overlapping component classifiers comprises four corners representing a rear profile of a vehicle (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object, where the object is a vehicle driving on a road).

As to claim 22, VM teaches wherein the overlapping component classifiers comprises four corners representing a frontal profile of a vehicle (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object, where the object is a vehicle driving on a road).

As to claim 23, VM teaches wherein the overlapping component classifiers comprises four corners representing a side profile of a vehicle (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object, where the object is a vehicle driving on a road).

As to claim 24, VM teaches wherein one of the overlapping component classifiers detects the bottom left corner of a vehicle (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object, where the object is a vehicle driving on a road).

As to claim 25, VM teaches wherein one of the overlapping component classifiers detects the bottom right corner of a vehicle (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object, where the object is a vehicle driving on a road).

As to claim 26, VM teaches wherein one of the overlapping component classifiers detects the top left corner of a vehicle (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object, where the object is a vehicle driving on a road).

As to claim 27, VM teaches wherein one of the overlapping component classifiers detects the top right corner of a vehicle (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object, where the object is a vehicle driving on a road).

As to claim 28, VM teaches wherein positioning of the four corners of the rear profile for a vehicle differ for different classes of vehicles (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object, where the object is a vehicle driving on a road).

As to claim 29, VM teaches a class of vehicle includes sedans (car; page 459, left-hand column lines 12-14).

As to claim 33, VM teaches wherein a class of vehicle includes trucks (truck; page 459, left-hand column lines 12-14).

As to claim 34, VM teaches wherein a distance between any two corners of the vehicle is constrained (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object, where the object is a vehicle driving on a road).

As to claim 35, VM teaches wherein the constraint between any two corners of the vehicle is scaled based on a distance between the vehicle and a camera capturing the video sequence and camera parameters (page 459, left-hand column lines 18-21).

As to claim 37, VM teaches wherein the accumulated confidence scores are inferred from confidence scores across multiple frames using a recursive filter (the algorithm used to determine the next trajectory adjusts itself whenever new information is received, thus making it repeat and re-occur; page 459, left-hand column lines 49-50; page 459, right-hand column lines 1-2).

As to claim 38, VM teaches wherein when the accumulated confidence score is a linear combination of the confidence scores of multiple component classifiers and the whole-appearance classifiers (page 459, left-hand column lines 22-25).

As to claim 39, VM teaches wherein when the confidence score for a principal component classifier is sufficiently high, the confidence score of the remaining component classifiers and the whole-appearance classifier are computed (page 459, left-hand column lines 15-21).

As to claim 40, VM teaches wherein if an object is detected the method comprising the step of: tracking the object over subsequent image frames (page 459, right-hand column lines 13-20).

As to claim 41, VM teaches wherein the step of tracking the object further comprises the step of: restricting an area of search in each subsequent image frame based on the location of the object in a current image frame (page 459, right-hand column lines 24-31).

As to claim 42, VM teaches wherein the step of tracking the object further comprises the step of: determining the optimal classifier scale based on a distance between the object and a camera detecting the object and camera parameters (page 459, right-hand column lines 32-41 teaches the prediction process using speed, however, speed is the combination of time and distance, therefore there is the need to use the distance parameter).

As to claim 45, VM teaches wherein an object class includes pedestrians (person; page 459, left-hand column lines 12-14).

As to claim 47, VM teaches wherein an object class includes motorcycles (motorbike; page 459, left-hand column lines 12-14).

As to claims 49-51, 53, 55, 58-80, 84-85 and 87-92, they are the system claims of claims 1, 3, 45, 47, 2, 5-21, 24-29, 34-35 and 37-42. Please see above for detail analysis.

As to claim 57, VM teaches a display for displaying the video sequences (Fig. 4 is a sample of a display window of the system).

As to claim 105, it is the same as claim 1 with the exception of claim 105 does not teach wherein the component classifiers include classifiers for detection components at multiple scales, but in stead teaches wherein the one or more classifiers include overlapping components classifiers (page 461, fig. 4 shows an object that's been identified and the system forming a box around the object; this box is formed by first gathering the structure of the object being classified then the corresponding shape is matched with the 2D mask to yield a scaled information. From the 3D points information such as size, position and speed maybe extracted (Page 462 left-hand column lines 3-8). The overlapping component may be the different information extracted from the 3D information, since all information is based on a single object).

***Claim Rejections - 35 USC § 103***

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. Claims 30-32, 46, 48, 52, 54, and 81-83 are rejected under 35 U.S.C. 103(a) as being unpatentable over VM.

As to claims 30-32, 46 and 48, VM teaches the classification may have one of the following values: car, truck, motorbike, person, too\_large and mobile\_object (page 459, left-hand column lines 10-14). VM does not expressly disclose that the classifier also includes bicycles, sports utility vehicles, vans, tractor-trailers and traffic signs. However, Examiner takes Official Notice that the non-disclosed classifiers are well known in the art. It would have been obvious at the time of the invention was made to one of ordinary skill in the art to add the additional classifiers since Examiner takes official notice that the non-disclosed classifiers are common on a normal road.

As to claims 52, 54, and 81-83, they are the system claims of claims 46, 48 and 30-32. Please see above for detail analysis.



9. Claims 36, 43, 44, 56-57, 86 and 93-94 are rejected under 35 U.S.C. 103(a) as being unpatentable over VM in view of Laumeyer, further in view of Brady et al. (5,761,326 hereinafter "Brady").

As to claim 36, VM does not teach wherein an image pyramid of multiple resolutions is used to detect objects of size  $2 \times x$ ,  $4 \times x$  and so on with the classifier for the size  $x$ . Brady teaches a method for machine vision classification and tracking using multiple resolution of a region of interest that has a sample kernel of  $2 \times 2$ , even though this method only give one sample it is not limited to kernel size  $2 \times 2$  (Col. 12, lines 34-45). Thus, Brady's machine vision method reads on the claimed pyramid multiple resolution method to detect objects. Therefore, it would have been obvious to one ordinarily skilled in the art at the time the invention was made to combine VM's surveillance system with Brady's multiple resolution system in order to increase the speed of processing the information for tracking (Brady Col. 12, lines 34-35).

As to claim 43, VM does not teach wherein the confidence scores of component classifiers are computed in a coarse to fine framework. Brady teaches a method for machine vision classification and tracking that searches a target region first at a lower resolution then at a higher resolution (Col. 12, lines 43-46). Thus, Brady's searching a target region from low to high resolution reads on the claimed coarse to fine framework. Therefore, it would have been obvious to one ordinarily skilled in the art at the time the invention was made to combine VM's surveillance system with Brady's multiple

resolution system in order to increase the speed of processing the information for tracking (Brady Col. 12, lines 34-35).

As to claim 44, VM does not teach wherein detection is performed on an image pyramid of multiple resolutions. Brady teaches a method for machine vision classification and tracking using multiresolution pyramid (Col. 12, lines 41-43). Thus, Brady's multiresolution pyramid reads on the claimed image pyramid of multiple resolutions. Therefore, it would have been obvious to one ordinarily skilled in the art at the time the invention was made to combine VM's surveillance system with Brady's multiple resolution system in order to increase the speed of processing the information for tracking (Brady Col. 12, lines 34-35).

As to claim 56, VM does not teach wherein if an object is detected, the processor outputs a warning signal. Brady teaches the graphical user interface automatically displays alarm information when an incident has been detected (Col.4, lines 5-7). Thus, Brady's alarm information reads on the claimed warning signal. Therefore, it would have been obvious to one ordinarily skilled in the art at the time the invention was made to combine VM's surveillance system with the alarm notification in order to notify the user.

As to claims 86 and 93-94, they are the system claims of claims 36 and 43-44. Please see above for detail analysis.

***Conclusion***

10. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

***Contact Information***

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Claire Wang whose telephone number is 571-270-1051. The examiner can normally be reached on Mid-day flex.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matthew Bella can be reached on 571-272-7778. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Claire Wang  
02/18/2008



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